

NHS Self-Certification 2017/18 - General Condition 6 (GC6)

Background

The Health and Social Care Act 2012 introduced the concept of a Licence for providers of NHS services, and the NHS Provider Licence was subsequently introduced in February 2013.

Although NHS Trusts are exempt from needing the provider licence, directions from the Secretary of State require the NHS Improvement to ensure that NHS trusts comply with conditions equivalent to the licence as it deems appropriate. The Single Oversight Framework (SOF) bases its oversight on the NHS provider licence. NHS Trusts are therefore legally subject to the equivalent of certain provider licence conditions (including Condition G6 and Condition FT4) and must self-certify under these licence provisions and publish a compliance statement for Condition G6 by 31 May 2018.

Condition G6

Condition G6 (2) requires NHS trusts to have processes and systems that:

- a. identify risks to compliance
- b. takes reasonable mitigating actions to prevent those risks and a failure to comply from occurring.

Providers must annually review whether these processes and systems are effective.

On 23 May 2018 the Trust's Audit Committee and Trust Board considered and approved the

- Annual Governance Statement which sets out the Trust governance structures, systems and processes and identifies risks to compliance with the NHS Contracts and to NHS Constitution rights.
- Annual report and Accounts 2017/18

In addition to support compliance:

- A review of the Trust's governance structures has been undertaken through the Internal Audit programme, External Auditors review as part of the Auditing of the Trust's Accounts and external consultants. These have been reported through the Board and its Committee structure.
- To support on-going compliance with this condition the Board Committees, including Audit Committee, FPC and RAQC have reviewed and monitored areas within their terms of reference and reported to Board after each meeting.
- The Board Committees are also reviewed annual to ensure they remain effective.

G6 Declaration: Required by General Condition 6 of the NHS Provider Licence

1. General condition 6 – systems for compliance with licence conditions (FTs and NHS trusts)

Following a review for the purpose of paragraph 2(b) of the licence condition G6, the Directors of the Licensee are satisfied that, in the financial year most recently ended, the Licensee took all such precautions as were necessary in order to comply with the conditions of the licence, any requirements imposed on it under the NHS Acts and have had regard to the NHS Constitution.

Signed on behalf of the board of directors

Confirmed

Signature

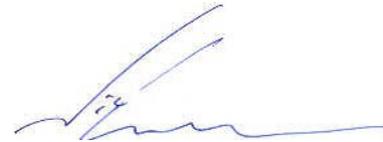


Name Ellen Schroder

Capacity Chair

Date 31/05/2018

Signature



Name Nick Carver

Capacity Chief Executive

Date 31/05/2018